



11-01-00

SEC 002

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/598,852	06/20/2000	Kenneth H. Mollenauer	212/262

K david Crockett
Crockett & Crockett
24012 Calle De La plata Suite 400
Laguna hills, CA 92653

FORMALITIES LETTER



OC000000005444013

Date Mailed: 10/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
 - Small Entity Statement is missing.
- The balance due by applicant is \$ 820.

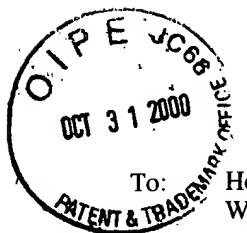
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/03/2000 ETULU1 00000015 033700 09598852

01 FC:201 355.00 CH
02 FC:205 65.00 CH



Docket No. 212/262 #3

Transmittal of Missing Parts

To: Hon. Commissioner of Patents and Trademarks
Washington, D. C. 20231

Sir: Enclosed for filing please find the following patent application:
Inventors: Kenneth H. Mollenauer
Title: Device And Methods For Repair of Valves In The Human Body

Enclosed please also find the following papers:

Serial Number 09/598,852

- ☐ 16 pages Specification; 3 pages claims; 1 pages abstract Filing Date June 20, 2000
☐ 8 sheets drawings (☒ informal ☐ formal)
☒ Declaration and Power of Attorney (☐ combined ☒ separate)
☒ Assignment of the invention
☒ A Verified Statement Claiming Small Entity Status
☒ Form PTO-1533
☐ Petition for Grant of Filing Date
☐ Declaration in Support of Petition for Grant of Filing Date
☐ Preliminary Amendment
☐ Petition for Extension of Time
☐ Other: _____

The filing fee has been calculated as shown below:

Basic Filing Fee				\$710
Total Claims	8		18	\$0
Independent Claims	2		80	\$0
Multiple Dependent Claims	<input type="checkbox"/>		270	\$0
Surcharge 37 CFR 1.16(E)	<input checked="" type="checkbox"/>			\$130
Total Calculations				\$840
Reduction for Small Entity				\$420
Assignment (\$40)	<input checked="" type="checkbox"/>			\$40
TOTAL FEES				\$460

- ☐ Check No. _____ in the amount of \$460 to cover the above fees is enclosed.
☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication, any deficiency in the fee paid, or credit any overpayment, to Deposit Account 03-3700.

Date: October 31, 2000

By: _____

K. David Crockett, Esq., Reg. No. 34,311

Crockett & Crockett
24012 Calle De La Plata, Suite 400 Tel (949) 588-6171
Laguna Hills, CA 92653 Fax (949) 588-6172

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this transmittal (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Express Mail Label EL699704185USDate: October 31, 2000

K. David Crockett, Esq., Reg. No. 34,311

EL699704185US



Please acknowledge receipt of the following application papers by affixing the Patent and Trademark Office Stamp and returning this card to our office:

Application Number 09/598,852

Title: Device And Methods For Repair of Valves In The Human Body

Inventors: Kenneth H. Mollenauer

Docket No.: 212/262

☐ Specification, claim(s), drawings and abstract
16 pages Specification; 3 pages claims;
1 pages abstract 8 sheets drawings

☒ Declaration and Power of Attorney
☒ Assignment of the invention
☒ A Verified Statement Claiming Small Entity Status
☒ Form PTO-1533
☐ Check No. _____
☐ Petition for Extension of Time

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PHONE () CROCKETT & CROCKETT 24012 CALLE DE LA PLATA STE 400 LAGUNA HILLS CA 92653-3621 212 / 262	PHONE () COMMISSIONER OF PATENTS AND TRADEMARKS WASHINGTON DC 20231-0001 Box: Missing Parts

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